

**MINUTES**  
**Kentucky Community and Technical College System**  
**Board of Regents Meeting**  
**September 16, 2011**

**Board Members Present:**

Mr. Porter G. Peeples, Chair  
Ms. Marcia L. Roth, Vice Chair  
Ms. Jackie B. Cecil, Secretary  
Mr. Richard A. Bean  
Mr. Elijah Buell, Jr.  
Mr. Robert G. Cooper  
Ms. Betsy E. Flynn

Dr. Michael Ginsberg  
Dr. Gail R. Henson  
Mrs. Jan M. Hite  
Ms. Barbara A. Hoskins  
Mr. John W. Pence  
Ms. Doris C. Thomas  
Mr. Thomas O. Zawacki

**CALL TO ORDER**

KCTCS Board of Regents Chair Peeples called to order the meeting of the Kentucky Community and Technical College System Board of Regents at 9:30 a.m. (ET) on September 16, 2011. The meeting was held in the Community Room of the Health Sciences Building at Jefferson Community and Technical College in Louisville, Kentucky. Chair Peeples announced that the press was notified of the meeting on September 7, 2011, and that Hon. Cam Cantrill, KCTCS General Counsel, would serve as parliamentarian.

There being a quorum present, Board business began with the approval of minutes.

**APPROVAL OF MINUTES**

MOTION: Ms. Roth moved and Dr. Ginsberg seconded that the June 17, 2011, KCTCS Board of Regents meeting minutes be approved.

Chair Peeples asked for any corrections to the minutes.

Mr. Bean asked that paragraph 3 on page 9 read, "VOTE: The motion was approved. Regent Bean voted against the motion."

There were no further corrections; the minutes were approved as corrected by unanimous consent.

**ADDITIONS OR CHANGES TO THE AGENDA**

There were no additions or changes to the agenda.

**WELCOME AND INTRODUCTION OF MEETING GUESTS**

Chair Peeples welcomed guests to the meeting. He thanked Jefferson Community and Technical College President Anthony Newberry for his hospitality and thanked his staff, especially Ms. Lisa Brosky and Ms. Teresa Harper, for coordinating the Board's visit to the college.

**COLLEGE  
PRESENTATIONS**

Chair Peoples called on President McCall to introduce Dr. Anthony Newberry, Jefferson Community and Technical College President, to present the college's vision, the role in the *2010-11 Transformation Initiative*, and the master campus plan.

Dr. Newberry presented a video on Jefferson Community and Technical College and the campus master plan, which included the plans for several capital projects on the Downtown and Carrollton campuses. The video highlighted the importance of the college in the community and the future direction of the college.

Chair Peoples called on President McCall to introduce Dr. James Selbe, Hopkinsville Community College President, to present the college's master campus plan, the details of the college's vision and the college's role in the *2010-11 Transformation Initiative*.

Chair Peoples thanked the presenters for the information. He noted that the responsiveness of KCTCS and its colleges to the needs of students is appreciated.

**CHAIR'S REPORT**

KCTCS Board of Regents Chair Peoples reported that in August 2011, the 16 KCTCS Student Government Association Presidents elected Ms. Jan M. Hite and Mr. John W. Pence as KCTCS Student Body Co-Presidents and Student Regents for one-year terms that expire August 2012. Ms. Hite is pursuing an associate degree (political science) at Henderson Community College. Mr. Pence is pursuing an associate degree (psychology) at Hazard Community and Technical College. Chair Peoples also reported that Governor Steve Beshear appointed Ms. Carolyn E. "Betsy" Flynn (term expires June 21, 2016) and Mr. Robert G. "Bob" Cooper (term expires June 21, 2017) to the KCTCS Board of Regents in July 2011. Ms. Flynn is President, CEO, and Chair of Community Financial Services Bank, Benton, Kentucky, and is a resident of Murray. Mr. Cooper is Vice President of Public Finance for Hilliard Lyons, Louisville, Kentucky, and is a resident of Newport.

The KCTCS New Regent Orientation and Oath of Office was conducted on September 15, 2011. The Honorable Shelia A. Collins, Kentucky District Court 30, serving Jefferson County, administered the Kentucky Oath of Office to the new regents. Committee membership and leadership assignments have been made for all the committees. The *Governor's Conference on Postsecondary Education Trusteeship* is scheduled for September 22-23, 2011, and will take place at the Lexington Marriott Griffin Gate.

He also announced that the Board's 2012 regular meetings and locations are on March 15-16 at the KCTCS System Office in Versailles, June 14-15 at Hopkinsville Community College in Hopkinsville, September 20-21 at Hazard Community and Technical College in Hazard, and December 6-7 at the KCTCS System Office in Versailles.

Chair Peoples announced that KCTCS received the ACCT Central Region Equity Award. The award will be presented in October 2011 at the ACCT meeting.

Chair Peoples asked Mr. Tom Zawacki to lead the annual presidential evaluation process and announced that a Special Executive Committee Meeting will be held on November 29, 2011, to start the evaluation process.

He also announced that the KCTCS Foundation, Inc. Board of Directors is scheduled to meet November 4, 2011.

Chair Peoples thanked the Board for expressing confidence in his leadership by electing him to serve as chair and noted he was honored to represent KCTCS at events, such as the Bluegrass Community and Technical College Newtown Campus groundbreaking.

**PRESIDENT'S  
REPORT**

KCTCS President Michael B. McCall provided an update on the 2010-16 Performance Measures. Additionally, Board members were provided a demonstration of Blackboard Collaborate, a collection of online tools that allows the addition of voice and live meetings to online classes.

Some other activities and information highlighted in the President's Report included Aspen Institute College Excellence Program's Top 120 with eight KCTCS colleges selected in the top 10 percent in the country; the success of the *2011 KCTCS New Horizons Teaching and Learning Conference* for faculty and staff; the President's Leadership Seminar Class of 2010-11 and the new class for 2011-12; systemwide Transfer initiatives and Memorandums of Agreement (MOA) signed with Campbellsville University and Morehead State University; the 2011 All-Academic Team and *USA Today's* 2011 All-USA Academic Team recognition of KCTCS students; three KCTCS students participating for the first time in the Kentucky Legislative Internship Program; and Diversity Plan development update. An update on the *KCTCS Strategic Plan 2010-16* Performance Measures was also provided.

The written report presented at the meeting is posted in its entirety at <http://www.kctcs.edu/organization/board/meetings>

**CONSENT  
AGENDA**

**RECOMMENDATION:** That the following items listed under the KCTCS Board of Regents Consent Agenda be approved:

Academic Affairs and Curriculum Committee

- I-1. \*\*Action: Ratification of New Credit Certificate and Diploma Programs. Each of the new programs is applicable toward at least one degree program.
- I-2. \*\*Action: KCTCS Colleges' Candidates for Credentials.

Finance, Technology, and Human Resources Committee

- J-1. \*\*Action: Ratification of Personnel Actions. The personnel actions presented for ratification followed policy and procedures.

Chair Peoples noted that the items on the Consent Agenda were discussed separately during committee meetings of the KCTCS Board of Regents. He asked whether any regent would like to discuss or remove an item from the Consent Agenda.

Dr. Henson asked for agenda item I-1. Action: Ratification of New Certificate and Diploma Programs to be removed from the consent agenda due to corrections made to the list of programs. Dr. Henson noted the item will be reviewed during the Academic Affairs and Curriculum Committee report.

By unanimous consent, the consent agenda items were approved as presented with the exception of Agenda Item I-1 Action: Ratification of New Credit Certificate and Diploma Programs.

**RECESS**

The KCTCS Board of Regents recessed at 11:35 a.m. (ET) and reconvened at 11:50 a.m. (ET).

**EXECUTIVE COMMITTEE**

Chair Peoples called upon Vice Chair Roth to make the committee's report.

**INFORMATION:  
KCTCS 2012  
LEGISLATIVE  
AGENDA**

Ms. Roth reported that there are pre-filed bills of potential interest to KCTCS that will be monitored during the legislative process. In particular, KCTCS will monitor Bill Request (BR) 18 filed by Representative Carl Rollins, requiring annual safety inspections for public postsecondary vehicles, and BR 159 filed by Senator John Westwood, expressing various goals for career and technical education. BR159 is essentially the same as BR 184, which was filed in the House of Representatives by Representative Brent Yonts.

It was noted that the KCTCS Board of Regents Bylaws state that the Executive Committee of the Board could conduct a special meeting to address any legislative issues that may arise.

**ACTION: MISSION  
STATEMENT  
REVISION FOR  
BLUEGRASS  
COMMUNITY AND  
TECHNICAL  
COLLEGE**

Chair Peoples called on Ms. Roth to present the revised mission statement. It is the policy of KCTCS that a college mission statement be reviewed annually and that the mission statement be submitted to the KCTCS Board of Regents for approval at least once every three years. KCTCS Board of Regents policy indicates that each of the SACS-accredited colleges under the jurisdiction of the KCTCS Board of Regents have a statement of purpose approved by the Board. *The Principles of Accreditation: Foundations for Quality Enhancement* of the Commission on Colleges of the Southern Association of Colleges and Schools (SACS) states that an institution must have "a clearly defined and published mission statement specific to the institution and appropriate to an institution of higher education..." and that "it must be approved by the governing board (and) is periodically reviewed by the board."

RECOMMENDATION: That the KCTCS Board of Regents approve a revised mission statement as presented in the agenda materials for Bluegrass Community and Technical College.

It was noted that the Bluegrass Community and Technical College (BCTC) Board of Directors discussed the mission statement revision on December 8, 2010, and March 2, 2011. The BCTC Foundation Board reviewed the mission statement on January 12, 2011. Faculty and staff reviewed the mission statement in February 2011. BCTC also conducted an electronic survey to gather additional input from all constituencies February through March 2011. The BCTC Administrative and Planning Team reviewed the survey responses and made suggestions to the final draft of the mission statement. The revised mission statement was endorsed by the BCTC Board of Directors on June 1, 2011.

MOTION: On behalf of the Executive Committee, Ms. Roth moved that the KCTCS Board of Regents approve the proposed revised mission statement for Bluegrass Community and Technical College as presented in the agenda materials.

VOTE: The motion was approved unanimously.

**ACTION:  
RESOLUTION  
ENDORISING  
BEYOND THE  
NUMBERS: KCTCS  
2010-16 DIVERSITY  
ACTION PLAN FOR  
INCLUSION,  
ENGAGEMENT,  
AND EQUITY (IE<sup>2</sup>).**

RECOMMENDATION: That the KCTCS Board of Regents adopt the *Resolution to Endorse Beyond the Numbers: KCTCS 2010-16 Diversity Action Plan for Inclusion, Engagement, and Equity (IE<sup>2</sup>)* (Attachment A).

Chair Peebles noted that KCTCS received the Association of Community College Trustees (ACCT) Regional Equity Award, which is an indication of how KCTCS is committed to the *2010-16 Strategic Plan Goal: Cultivate Diversity, Multiculturalism, and Inclusion*.

Chair Peebles then called on Ms. Roth to present this item. *Beyond the Numbers* is the framework to guide the students, faculty, and staff in advancing diversity, multiculturalism, inclusion, and engagement throughout KCTCS. KCTCS has instituted a variety of strategies to ensure that diversity is infused throughout all functions of the organization rather than being viewed as the responsibility of the diversity staff alone.

As part of the diversity strategic planning process, staff from the 16 KCTCS colleges and the System Office conducted extensive Appreciative Inquiry interviews and best practice research to identify the components required to ensure a successful comprehensive plan. The information was then used to develop the action strategies outlined in *Beyond the Numbers: KCTCS 2010-16 Diversity Action Plan for Inclusion, Engagement, and Equity (IE<sup>2</sup>)*. *Beyond the Numbers* aligns with the requirements of the Council on Postsecondary Education's (CPE) *Kentucky Public Education Diversity Policy and Framework for Institution Diversity Plan Development*.

MOTION: On behalf of the Executive Committee, Ms. Roth moved that the KCTCS Board of Regents adopt the *Resolution to Endorse Beyond the Numbers, KCTCS 2010-16 Diversity Action Plan for Inclusion, Engagement, and Equity (IE<sup>2</sup>)* (Attachment A).

Chair Peeples called for discussion. In response to a question regarding the Diversity Plan, President McCall asked KCTCS Vice President Gwendolyn Joseph and Director of Cultural Diversity Natalie Gibson to provide a brief summary of the presentation made to the Executive Committee of the Board.

VOTE: The motion was approved unanimously.

**ACTION: 2010-11  
TRANSFORMATION  
INITIATIVE – KCTCS  
BUSINESS PLAN**

RECOMMENDATION: That the KCTCS Board of Regents receive the *KCTCS Business Plan* as presented to the Board at the September 15-16, 2011, meetings.

Chair Peeples called on Ms. Roth to present this item. Ms. Roth called on President McCall to present the *KCTCS Business Plan* to the Board as the final component of the *2010-11 Transformation Initiative*.

During the presentation, President McCall provided an overview of the nine Transformation strategies in the seven topic areas of:

Curriculum Review  
Developmental Education  
Dual Credit  
Financial Aid  
Job Placement and Career Development  
Student Services  
Transfer

Additionally, he reviewed the results of the Strategic Needs Analysis used to calculate the cost of funding the implementation of the Transformation strategies over the period of the *2010-16 KCTCS Strategic Plan*. The costs are estimated to total approximately \$65 million over the five-year period of the strategic plan.

MOTION: On behalf of the Executive Committee, Ms. Roth moved that the KCTCS Board of Regents receive the *KCTCS Business Plan* as presented to the Board at the September 15-16, 2011, meetings.

VOTE: The motion was approved unanimously.

**ACADEMIC  
AFFAIRS AND  
CURRICULUM  
COMMITTEE**

Academic Affairs and Curriculum Committee Chair Henson presented the committee's report to the Board of Regents.

**ACTION:  
RATIFICATION OF  
NEW CREDIT  
CERTIFICATE AND  
DIPLOMA  
PROGRAMS**

RECOMMENDATION: That the KCTCS Board of Regents ratify the approval of new credit certificate programs listed in the agenda materials and ratify the diplomas listed, which were created by "rollover" to aligned curricula.

Dr. Henson reported this item as pulled from the Consent Agenda because of corrections made for Big Sandy Community and Technical College (BSCTC) and Hazard Community and Technical College (HCTC). The Home Health Care Nursing Assistant was listed under HCTC, but it should

have been listed under BSCTC. Another correction noted was the 3D Modeling Specialist certificate that was omitted. It is listed correctly for HCTC on the revised listing distributed at the meeting.

MOTION: On behalf of the Academic Affairs and Curriculum Committee, Dr. Henson moved that the KCTCS Board of Regents ratify the approval of the new credit certificate programs listed in the revised agenda materials and ratify the diplomas listed, which were created by “rollover” to aligned curricula.

VOTE: The motion was approved unanimously.

**NEW PROGRAM  
PROPOSALS**

**ACTION:  
ASSOCIATE IN  
APPLIED SCIENCE  
IN DESIGN AND  
TECHNOLOGY FOR  
BIG SANDY  
COMMUNITY AND  
TECHNICAL  
COLLEGE**

RECOMMENDATION: That the KCTCS Board of Regents approve an Associate in Applied Science (AAS) in Design and Technology with options in Graphic Design, Interactive Multimedia, and Production Design; diplomas in Graphic Design, Interactive Multimedia, and Production Design; and certificates in Design Assistant and Digital Photography for Big Sandy Community and Technical College to be implemented in spring 2012.

Dr. Henson noted that other colleges offer programs in the Visual Communication field, but this will be the first Associate in Applied Science (AAS) in Design and Technology for KCTCS. The 63-66 hour AAS in Design and Technology emphasizes creative problem solving and insight into the mix of art and technical competence to better prepare students for successful careers in graphic design, advertising design, photography, digital media design, digital video and filmmaking production, and multimedia creation in a highly developed consumer economy driven by sales and marketing activities. She also reported that prospective employment opportunities are in advertising agencies, graphic design studios, news media, photographic studios, film and video production studios, department stores, and other creative services, departments, and businesses. There is no new funding required for implementation of this program.

MOTION: On behalf of the Academic Affairs and Curriculum Committee, Dr. Henson moved that the KCTCS Board of Regents approve an Associate in Applied Science (AAS) in Design and Technology with options in Graphic Design, Interactive Multimedia, and Production Design; diplomas in Graphic Design, Interactive Multimedia, and Production Design; and certificates in Design Assistant and Digital Photography for Big Sandy Community and Technical College to be implemented in spring 2012.

VOTE: The motion was approved unanimously.

**ACTION:  
ASSOCIATE IN  
APPLIED SCIENCE  
IN MULTIMEDIA  
FOR HAZARD  
COMMUNITY AND  
TECHNICAL  
COLLEGE**

RECOMMENDATION: That the KCTCS Board of Regents approve an Associate in Applied Science (AAS) in Multimedia with options in Animation, Web Design, Digital Design, and Audio/Video; diploma in Multimedia with an option in Multimedia; and certificates in Multimedia, Animation, Web Design, Digital Design, and Audio/Video for Hazard Community and Technical College to be implemented in spring 2012.

Dr. Henson reported that Hazard Community and Technical College (HCTC) will be the second KCTCS college to offer this AAS degree; West Kentucky Community and Technical College is the other college. Gateway Community and Technical College and Somerset Community College offer diplomas and certificates, and Big Sandy Community and Technical College and Jefferson Community and Technical College offer certificates. The 63-66 credit hour Multimedia program provides students the skills necessary to prepare and produce multimedia presentations, websites, animations, audio/video presentations, etc. Employment opportunities will be enhanced with HCTC connections and association with East Kentucky Concentrated Employment Program (EKCEP).

Dr. Henson also noted that there is no new funding required for implementation of this program. Renovations of classroom space are being funded from Telford and Appalachian Region Commission (ARC) grants administered by the Rural Development Administration. The faculty teaching this program are supported through a Perkins grant.

MOTION: On behalf of the Academic Affairs and Curriculum Committee, Dr. Henson moved that the KCTCS Board of Regents approve an Associate in Applied Science (AAS) in Multimedia with options in Animation, Web Design, Digital Design, and Audio/Video; diploma in Multimedia with an option in Multimedia; and certificates in Multimedia, Animation, Web Design, Digital Design, and Audio/Video for Hazard Community and Technical College to be implemented in spring 2012.

VOTE: The motion was approved unanimously.

**ACTION:  
ASSOCIATE IN  
APPLIED SCIENCE  
IN HEALTH  
SCIENCE  
TECHNOLOGY FOR  
JEFFERSON  
COMMUNITY AND  
TECHNICAL  
COLLEGE**

RECOMMENDATION: That the KCTCS Board of Regents approve an Associate in Applied Science (AAS) in Health Science Technology for Jefferson Community and Technical College to be implemented in spring 2012.

Dr. Henson noted that this would be the third KCTCS college to offer this program as an AAS degree. Ashland Community and Technical College and West Kentucky Community and Technical College are the other two colleges that offer the degree. The 60-65 credit hour program is designed to prepare students for entry-level career opportunities in the field of healthcare and health-related services. The program is designed for those students who seek entry-level jobs as well as for the currently employed individual wishing to broaden skills for career enhancement. Many general education and core courses are required for completion of varied professional health programs. The Health Science

Technology program provides a smooth transition or career pathway to an allied health or nursing selective admission program once a student is accepted.

Dr. Henson reported that Jefferson Community and Technical College has approximately 1,000 allied health and nursing pending students. It is the purpose of this program to recruit these students into a declared major, thus providing increased retention and degree completers.

Dr. Henson also noted that there is no new funding required for implementation of this program. General education courses transfer to any public Kentucky four-year institution according to the general education transfer agreement. Students may obtain a completer baccalaureate degree at most Kentucky public universities. Additional transferability and articulation to baccalaureate programs will be explored and developed.

MOTION: On behalf of the Academic Affairs and Curriculum Committee, Dr. Henson moved that the KCTCS Board of Regents approve an Associate in Applied Science (AAS) in Health Science Technology for Jefferson Community and Technical College to be implemented in spring 2012.

VOTE: The motion was approved unanimously.

**UPDATE:  
BACCALAUREATE  
STUDENT  
TRANSFER**

Dr. Henson provided the following highlights:

- In fall 2011, KCTCS will launch the first of two transfer strategies, Foundations of Excellence® Transfer Focus. The launch of the Foundations of Excellence® Transfer Focus at all 16 KCTCS colleges not only demonstrates KCTCS' leadership and commitment to transform transfer in Kentucky but it also distinguishes KCTCS as the first community and technical college system in the nation to implement this effort statewide.
- Foundations of Excellence® Transfer Focus will facilitate the development of a comprehensive approach to transfer across KCTCS, including integrated structures and processes in the design and delivery of academic and student services.
- On September 20-21, 2011, KCTCS will host a Kentucky Launch of Foundations of Excellence - a public, systemwide showcase to:
  - Kick-off KCTCS participation in Foundations of Excellence in Kentucky.
  - Announce KCTCS commitment to student success and transfer to Kentucky postsecondary partners, legislators, media, other stakeholders, and citizens of the Commonwealth.
  - Engage a broader range of college personnel in professional development activities associated with the Foundations of Excellence Transfer Focus process.

- Conduct in-person team meetings and mentoring with the staff of John N. Gardner Institute.

**FINANCE,  
TECHNOLOGY, AND  
HUMAN  
RESOURCES  
COMMITTEE**

Finance, Technology, and Human Resources Committee Chair Thomas presented the committee’s report to the KCTCS Board of Regents.

**ACTION: KCTCS  
2012-14 BIENNIAL  
BUDGET REQUEST**

RECOMMENDATION:

- That the KCTCS Board of Regents submit the biennial operating budget request as developed by the Council on Postsecondary Education (CPE) in cooperation with the KCTCS President and university presidents.
- That the KCTCS Board of Regents approve the following KCTCS 2012-14 biennial capital budget request:

	<u>2012-14</u>
Capital Budget Request (Summarized in Attachment A and detailed in the supplemental document, <i>2012-14 Biennial Capital Budget Request</i> )	\$687,163,000

- That the KCTCS Board of Regents submit the biennial budget request as developed by the State Fire Commission and the Kentucky Board of Emergency Medical Services (KBEMS) in compliance with KRS 95A.060 and KRS 311A.020, respectively.

Ms. Thomas noted that the approach to the KCTCS biennial budget operating funds request has been developed through working with the CPE President and the university presidents and that it:

1. Recognizes the difficult economic situation facing the Commonwealth of Kentucky in the 2012-14 biennium.
2. Recognizes the need for an additional general fund appropriation for each institution of postsecondary education.
3. Provides opportunity for the institutions and the CPE to cooperatively seek additional funding for all the institutions.

Funding calculations for base funding and strategic initiatives have not yet been completed by CPE. Those calculations are expected to be completed by early November and will be reported to the KCTCS Board of Regents at its December 8-9, 2011, meetings.

The proposed 2012-14 KCTCS biennial capital budget request is based on the *KCTCS 2012-18 Six-Year Capital Plan* (compiled from project information submitted by the 16 KCTCS college presidents through a Strategic Needs Analysis (SNA)) submitted pursuant to statute to the Legislative Capital Planning Advisory Board. The 2012-14 KCTCS capital budget request:

1. Encompasses new construction, maintenance of existing facilities, and renovation of existing facilities, expansion of existing facilities, capital equipment, information technology equipment, and new or expanded leases of real property.
2. Recognizes the importance of maintaining the existing physical plant in a condition that enables the colleges to provide quality educational programs and services.
3. Emphasizes new construction projects that expand instructional capacity and new construction projects that are continuations of initiatives begun in previous biennial budgets.
4. Consists of 45 projects, resulting in a KCTCS 2012-14 capital budget request of approximately \$687 million.

The biennial budget request for KCTCS will comply with KRS 95A.060 and KRS 311 A.020, which require KCTCS to submit the biennial budget request of the State Fire Commission and the Kentucky Board of Emergency Medical Services (KBEMS).

MOTION: On behalf of the Finance, Technology, and Human Resources Committee, Ms. Thomas moved:

- That the KCTCS Board of Regents submit the biennial operating budget request as developed by the Council on Postsecondary Education (CPE) in cooperation with the KCTCS President and university presidents.
- That the KCTCS Board of Regents approve the following KCTCS 2012-14 biennial capital budget request:

	<u>2012-14</u>
Capital Budget Request (Summarized in Attachment A and detailed in the supplemental document, <i>2012-14 Biennial Capital Budget Request</i> )	\$687,163,000

- That the KCTCS Board of Regents submit the biennial budget request as developed by the State Fire Commission and the Kentucky Board of Emergency Medical Services (KBEMS) in compliance with KRS 95A.060 and KRS 311A.020, respectively.

Chair Peeples called for discussion.

In response to the questions raised about the biennial operating budget request development and the lack of detail provided, it was noted that at this point in time, the CPE was taking the following approach for the 2012-14 budget request:

- Maintaining the base funding levels for 2011-12.
- Providing Maintenance and Operations funding for facilities coming on line.
- Providing strategic investment funding for a college readiness initiative.
- Providing performance funding initiative tied to achievement of four or five of their performance indicator targets.

It was also noted during the discussion, that the trust level among higher education institutions and with CPE appears to have improved. President McCall confirmed that there was consensus among the universities and a level of trust on the four pronged approach CPE is pursuing. He also noted that the university presidents have agreed that more is to be gained by staying united on the budget request than acting independently.

The Board was reminded that a special meeting of the Executive Committee could always be called to address any budget issues that may arise, as necessary.

Chair Peeples called for a vote.

VOTE: The motion passed.

Ms. Thomas presented the quarterly financial report.

**UPDATE: KCTCS  
QUARTERLY  
FINANCIAL  
REPORT**

Information for the fourth quarter of fiscal year 2010-11, which ended June 30, 2011, was derived from the KCTCS Administrative Financial System. The Statement of Revenues and Expenditures reflects the actual program and operational expenditures compared to the fiscal year 2010-11 budget approved by the KCTCS Board of Regents June 17, 2010.

Total revenues of \$856 million were 103 percent of the budgeted revenue and appropriated funds. Current fund expenditures and budget reserve through the fourth quarter of the fiscal year were \$802 million, 97 percent of the expenditures budgeted for the year. There were no mid-year state appropriation reductions. KCTCS received 102 percent of the budgeted state appropriation due to action in the 2011 Special Session of the General Assembly which moved into 2010-11 approximately \$4.0 million of state appropriation originally budgeted in the 2011-12 appropriation. A like amount of tuition revenue collected in 2010-11 has been reserved for expenditure in 2011-12 to balance this action. Tuition revenue exceeded the budgeted amount by \$34 million.

Ms. Thomas noted that Attachment A and B in the agenda item report activity as of June 30, 2011; however, both of the attachments are noted as “Preliminary, Unaudited.” The staff of the external auditing firm, Dean Dorton Allen Ford, is completing its work on the KCTCS 2010-11 audited financial report and will present their findings at the December meeting of the KCTCS Board of Regents.

**UPDATE:  
OFFICE OF AUDIT  
SERVICES REPORT**

Ms. Thomas presented this update to the Board. Ms. Thomas reported that Mr. Glenn Paige, KCTCS Office of Audit Services Director, provided the Finance, Technology, and Human Resources Committee a semi-annual report on the activities of the Office of Audit Services. A summary of the items reported on may be found in the agenda materials.

**UPDATE:  
SUPPLIER  
DIVERSITY  
REPORT**

Ms. Thomas reported that KCTCS defines diverse suppliers as those businesses owned by ethnic minorities, women, veterans, or the physically challenged. The 2010-11 fiscal year (July 1, 2010 through June 30, 2011) will serve as the baseline year for diverse suppliers’ data.

The data indicate that throughout the fiscal year KCTCS spent more than \$5.5 million with diverse suppliers. This total amounted to 8.7 percent of total expenditures via purchase orders and check requests. KCTCS staff will monitor future expenditures on a quarterly basis and will compare that with the 2010-11 baseline data.

In May, a request for proposals was issued to assist KCTCS in developing the Supplier Diversity initiative. National Diversity Solutions (NDS), a Lexington-based minority-owned firm, was awarded a contract from this solicitation and is a nationally-recognized firm whose clients include the Professional Golf Association (PGA), Brown-Forman, and Applebee’s. National Diversity Solutions brings to KCTCS instant expertise, widespread networking contacts, and a proven record of accomplishment of success with supplier diversity.

KCTCS continues to actively participate in events and trade shows, including local chambers of commerce, events, and professional trade organizations.

**UPDATE:  
FULFILLING THE  
PROMISE  
CAMPAIGN**

Ms. Thomas reported that the *Fulfilling the Promise Campaign* total is \$101,578,345 million, exceeding the \$100 million goal. The closure of the campaign will be celebrated at the November 19, 2011, President’s Gala.

Chair Peebles called for a motion to commend the System Staff on their efforts in the campaign. Mr. Zawacki made the motion with a second by Ms. Thomas.

VOTE: The motion was approved unanimously.

**UPDATE:  
TECHNOLOGY  
SOLUTIONS**

Ms. Thomas provided the highlights of this update. She noted that Mr. Rick Chlopan, KCTCS Chief Information Officer, provided the update to the committee during their meeting.

Technology Solutions and Organizational Development and Human Resources have been working for several months on the rollout of self-service benefit software to be used during benefits open enrollment. The software will help employees and staff by allowing employees to view benefit information online, enter qualifying life event changes (children, marriage, etc.), and make open enrollment selections. A successful pilot program was completed in late spring; the full rollout will begin in early October.

A summary of additional items reported may be found in the agenda materials distributed before the meeting.

**NEXT MEETING**

Chair Peeples announced that the next regular meeting of the KCTCS Board of Regents would be December 9, 2011, at the KCTCS System Office, Versailles, Kentucky.

**ADJOURNMENT**

Mr. Cecil moved that the meeting adjourn. Dr. Henson seconded the motion.

VOTE: The motion was approved unanimously, and the meeting adjourned at 1:20 p.m. (ET).

12/09/11

Date Approved by the  
KCTCS Board of  
Regents

**SIGNED COPY ON FILE**

Porter G. Peeples, Chair  
KCTCS Board of Regents

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Jackie B. Cecil, Secretary  
KCTCS Board of Regents

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Michael B. McCall, Ed.D.  
KCTCS President



***Kentucky Community and Technical College System  
Board of Regents***

***Resolution to Endorse Beyond the Numbers,  
KCTCS 2010-16 Diversity Action Plan for Inclusion, Engagement, and Equity  
(IE<sup>2</sup>)***

**WHEREAS**, the mission of the Kentucky Community and Technical College System is to enhance the employability and quality of life of all Kentuckians as enacted in the *Kentucky Postsecondary Education Improvement Act of 1997* (House Bill 1); and

**WHEREAS**, in 1998, the Kentucky Community and Technical College System Board of Regents adopted a resolution embracing and valuing diversity in its broadest sense as evidenced by the policies developed and implemented for the System; and

**WHEREAS**, the Kentucky Community and Technical College System Board of Regents approved the *KCTCS Strategic Plan 2010-16*, which includes the goal: *Cultivate Diversity, Multiculturalism, and Inclusion*; and

**WHEREAS**, the Kentucky Community and Technical College System Board of Regents endorses a proactive approach to creating a work and educational environment that is conducive to the academic and social success of all students, faculty, and staff; and

**WHEREAS**, *Beyond the Numbers, KCTCS 2010-16 Diversity Action Plan for Inclusion, Engagement, and Equity (IE<sup>2</sup>)*, was developed to be consistent with the requirements of the Council on Postsecondary Education's *Kentucky Public Education Diversity Policy and Framework for Institution Diversity Plan Development*.

Now, **THEREFORE**, be it resolved that the Kentucky Community and Technical College System Board of Regents endorses *Beyond the Numbers, KCTCS 2010-16 Diversity Action Plan for Inclusion, Engagement, and Equity (IE<sup>2</sup>)*, as the framework to guide the students, faculty, and staff in advancing diversity, multiculturalism, inclusion, and engagement throughout the Kentucky Community and Technical College System.

**ADOPTED** this sixteenth day of September 2011.

**ATTEST:**

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Porter G. Peeples, Sr., Chair  
KCTCS Board of Regents

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Jackie B. Cecil, Secretary  
KCTCS Board of Regents

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Michael B. McCall, Ed.D.  
KCTCS President

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